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**FILED**  
DISTRICT COURT OF GUAM

APR 09 2008

JEANNE G. QUINATA  
Clerk of Court

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DISTRICT COURT OF GUAM

APR 03 2008 RD.

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Clerk of Court

Attorneys for the United States of America

IN THE UNITED STATES DISTRICT COURT  
FOR THE TERRITORY OF GUAM

UNITED STATES OF AMERICA,

Plaintiff,

vs.

SANG HO KIM,

Defendant.

CRIMINAL CASE NO. 06-00071

**AMENDED**  
**PLEA AGREEMENT**

Pursuant to Rule 11(c)(1)(B), the United States and the defendant, SANG HO KIM, enter into the following plea agreement:

1. The defendant agrees to enter a guilty plea to Count I of a Superseding Indictment charging him with Criminal Conspiracy in violation of Title 18, United States Code, Sections 2 and 371. The government will move to dismiss Count II at sentencing.

2(a) The defendant, SANG HO KIM, further agrees to fully and truthfully cooperate with Federal law enforcement agents concerning their investigation of fraudulently issued Guam drivers licenses, illegal employment of aliens, and related unlawful activities. Cooperation shall include providing all information known to defendant regarding any criminal activity, including but not limited to the offense(s) to which he is pleading guilty. Cooperation will also include

1 complying with all reasonable instructions from the United States; submitting to interviews by  
2 investigators and attorneys at such reasonable times and places to be determined by counsel for  
3 the United States; producing to the United States all non-privileged documents (including  
4 claimed personal documents) and other materials in the possession, custody or control of  
5 defendant requested by attorneys and agents of the United States; and testifying fully and  
6 truthfully before any grand juries, hearings, trials or any other proceedings where defendant's  
7 testimony is deemed by the United States to be relevant. Defendant understands that such  
8 cooperation shall be provided to any state, territorial, local or federal law enforcement agencies  
9 designated by counsel for the United States. Further, it is understood and agreed that defendant  
10 shall not directly, indirectly, or intentionally disclose anything defendant knows or had done  
11 concerning the United States' investigation to anyone other than defendant's attorney. Defendant  
12 agrees to take no steps directly or indirectly to warn any subject of this investigation that  
13 defendant, a subject or anyone else is being investigated.  
14

15 2(b) The United States will make this cooperation known to the Court prior to the  
16 defendant's sentencing. The defendant further understands that he remains liable and subject to  
17 prosecution for any criminal schemes of which he does not fully advise the United States, or for  
18 any material omissions in this regard.

19 2(c) This agreement is not contingent in any way upon the outcome of any investigation,  
20 proceeding or subsequent trial. Thus, none of the rights and obligations described above are in  
21 any way dependent upon a grand jury returning an indictment, a jury's verdict at any trial, or the  
22 success of any prosecution.

23 2(d) Except as otherwise herein provided, and in particular in Paragraph 8 of this plea  
24 agreement, the United States agrees not to prosecute defendant for any other non-violent  
25 offenses which were committed in the District of Guam or the Northern Mariana Islands (CNMI)  
26 which defendant reveals to Federal authorities during his cooperation with the United States.  
27 This agreement is limited to crimes committed by defendant in the districts of Guam or the  
28

1 CNMI.

2  
3 3(a) The defendant, SANG HO KIM, understands that the maximum sentence for  
4 Criminal Conspiracy to commit Fraud in Connection with Identification Documents in violation  
5 of Title 18, United States Code, Sections 371 and 1028(a)(1) is a term of five (5) years  
6 imprisonment, a ~~\$100,000~~ <sup>\$250,000</sup> fine, and a \$100 special assessment fee, which must be paid at the  
7 time of sentencing. Any sentence imposed may include a term of supervised release of not more  
8 than three (3) years in addition to such terms of imprisonment. Defendant understands that if he  
9 violates a condition of supervised release at any time prior to the expiration of such term, the  
10 court may revoke the term of supervised release and sentence him up to an additional two (2)  
11 years of incarceration pursuant to 18 U.S.C. § 3583(e)(3).

12 3(b) If defendant cooperates as set forth in Paragraph 2, the government will recommend  
13 that defendant receive the minimum term of incarceration recommended by the Sentencing  
14 Guidelines.

15 3(c) The government will recommend a fine within the Sentencing Guidelines range. If  
16 defendant is financially unable to immediately pay the fine in full, defendant agrees to make a  
17 full disclosure of his financial status to the United States Attorney's Office by completing a  
18 Financial Disclosure Form (OBD-500) for purpose of fixing a monthly payment schedule.  
19 Defendant understands that, by law, interest accrues on any remaining balance of the debt.

20 4(a) The defendant understands that to establish a violation of Criminal Conspiracy to  
21 commit Fraud in Connection with Identification Documents as charged pursuant to Title 18,  
22 United States Code, Sections 371 and 1028(a)(1), the government must prove each of the  
23 following elements beyond a reasonable doubt:

24 First: beginning on or about the date charged, there was an agreement  
25 between two or more persons to commit the crime of fraud in connection  
26 with an identification document;

27 Second: the defendant became a member of the conspiracy knowing of  
28 at least one of its objects and intending to help accomplish it; and

Third: one of the members of the conspiracy performed at least one

1  
2 overt act for the purpose of carrying out the conspiracy, with the jury  
3 unanimously agreeing on the particular overt act that it found was  
4 committed.

5 4(b) To be found guilty of Fraud in Connection with Identification Documents in  
6 violation of Title 18, United States Code, Section 1028(a)(1), the government must prove  
7 beyond a reasonable doubt:

8 First: that the defendant knowingly and without lawful authority  
9 produced an identification document, authentication feature or a  
10 false identification document;

11 Second: the production of this document was in or affected interstate  
12 or foreign commerce.

13 5. The defendant agrees that the Sentencing Guidelines apply to this offense. The  
14 defendant also understands that the facts he stipulates to herein will be used, pursuant to 1B1.2,  
15 in calculating the applicable guidelines level. The Government and the defendant stipulate to the  
16 following facts for purposes of the Sentencing Guidelines:

17 (a) The defendant was born in 1980, and is a citizen of Korea.

18 (b) If the defendant cooperates with the United States by providing information  
19 concerning the unlawful activities of others, the government agrees that any self-incriminating  
20 information so provided will not be used against defendant in assessing his punishment, and  
21 therefore, pursuant to § 1B1.3 of the Sentencing Guidelines, this information should not be used  
22 in determining the applicable guidelines range.

23 (c) The defendant entered Guam September 2, 2004, on a Guam Tourist Visa Waiver, and  
24 failed to return to Korea within 15 days. Instead, he illegally remained on Guam and became  
25 employed. Because he was in the United States illegally, he was not eligible to secure a U.S.  
26 social security number or a U.S. taxpayer identification number (TIN) or any other document  
27 issued by the United States which would evidence his right to remain in the United States.

28 The Guam Motor Vehicle Division (MVD) regulations prohibited an alien from receiving  
a three-year Guam drivers license unless he has proof that he is entitled to be in the United  
States, as evidenced either by an original social security card, an original, notarized letter from

1 the Social Security Administration authenticating his social security number, or an original letter  
2 from the Internal Revenue Service authenticating his Taxpayer Identification Number (TIN).

3 Defendant knew that regular drivers licenses could be illegally procured from the MVD.  
4 He knew that he had no social security number or other form of authentic United States  
5 identification, so that he would have to obtain the license fraudulently. Defendant agreed to pay  
6 Eun Young Lee \$350 to assist him obtain a Guam driver's license; to date, he has paid her \$300  
7 of that amount. On January 31, 2005, Eun Young Lee and the defendant submitted an  
8 application for a driver's license to the MVD, falsely certifying that defendant's TIN was 952-10-  
9 5064, which was a fictitious TIN. Defendant took a written exam in Korean, which was  
10 proctored by Eun Young Lee. At a later date, Lee directed him to go to the MVD and pick up his  
11 license. Defendant went to the MVD on February 10, 2005, and was issued license number 122  
12 81 8003. Prior to receiving his license, defendant was given a computer printout of his license  
13 format, which contained the biographical data upon which the license was issued. The driver's  
14 license biographical document reflected 952-10-5064 as his "Social Security/ID No." Defendant  
15 paid the \$5 fee and fraudulently received a Guam driver's license. The production, issuance,  
16 transfer and use of this driver's license affected interstate and foreign commerce.

17 (d) The defendant understands that notwithstanding any agreement of the parties, the  
18 United States Probation Office will make an independent application of the Sentencing  
19 Guidelines. The defendant acknowledges that should there be discrepancies in the final  
20 sentencing guidelines range projected by his counsel or any other person, such discrepancies are  
21 not a basis to withdraw his guilty plea.

22 6. The defendant understands that this plea agreement depends on the fullness and  
23 truthfulness of his cooperation. Therefore, defendant understands and agrees that if he should  
24 fail to fulfill completely each and every one of his obligations under this plea agreement, or make  
25 material omissions or intentional misstatements or engage in criminal conduct after the entry of  
26 his plea agreement and before sentencing, the government will be free from its obligations under  
27

1 the plea agreement. Thus defendant, in addition to standing guilty of the matters to which he has  
2 pled pursuant to this agreement, shall also be fully subject to criminal prosecution for other  
3 crimes, and for the counts which were to be dismissed. In any such prosecution, the prosecuting  
4 authorities, whether Federal, State, or Local, shall be free to use against him, without limitation,  
5 any and all information, in whatever form, that he has provided pursuant to this plea agreement  
6 or otherwise; defendant shall not assert any claim under the United States Constitution, any  
7 statute, Rule 11(f) of the Federal Rules of Criminal Procedure, Rule 410 of the Federal Rules of  
8 Evidence, or any other provision of law, to attempt to bar such use of the information.  
9

10 7. Defendant understands that whether he has completely fulfilled all of the obligations  
11 under this agreement shall be determined by the court in an appropriate proceeding at which any  
12 disclosures and documents provided by defendant shall be admissible and at which the United  
13 States shall be required to establish any breach by a preponderance of the evidence. In  
14 determining whether there has been a breach, the parties agree that any polygraph results and the  
15 polygrapher's conclusions and opinions shall be admissible.

16 8. In exchange for the Government's concessions in this plea agreement, the defendant  
17 waives any right to appeal or to collaterally attack this conviction but reserves the right to appeal  
18 the sentence imposed in this case. He agrees to waive all constitutional challenges to the validity  
19 of the Sentencing Guidelines. The defendant agrees that the government has bargained for a  
20 criminal conviction arising from his conduct. If at any time defendant's guilty plea or conviction  
21 is rejected, withdrawn, vacated or reversed, for whatever reason, or if any change of law renders  
22 the conduct for which he was convicted to be non-criminal, defendant agrees that he will enter a  
23 guilty plea to another charge encompassing the same or similar conduct. In such event,  
24 defendant waives any objections, motions or defenses based upon the Statute of Limitations,  
25 Speedy Trial Act, or constitutional restrictions as to the time of bringing such charges.

26 9. The defendant acknowledges that he has been advised of his rights as set forth below  
27 prior to entering into this plea agreement. Specifically, defendant has been fully advised of, has  
28

1 had sufficient opportunity to reflect upon, and understands the following:

2 (a) The nature and elements of the charge and the mandatory minimum penalty provided  
3 by law, if any, and the maximum possible penalty provided by law;

4 (b) His right to be represented by an attorney;

5 (c) His right to plead not guilty and the right to be tried by a jury and at that trial, the  
6 right to be represented by counsel, the right to confront and cross-examine witnesses against him,  
7 and the right not to be compelled to incriminate himself, that is, the right not to testify;

8 (d) That if he pleads guilty, there will not be a further trial of any kind on the charges to  
9 which such plea is entered so that by entering into this plea agreement, he waives, that is, gives  
10 up, the right to a trial;

11 (e) Because this plea is entered pursuant to Federal Rule of Criminal Procedure  
12 11(c)(1)(B), the defendant understands that he may not withdraw his guilty plea even if the court  
13 does not accept the sentencing recommendations of the government or his counsel;

14 (f) That, upon entry of a plea of guilty, or thereafter, the Court may ask him questions  
15 about the offenses to which he has pled, under oath, and that if he answers these questions under  
16 oath, on the record, his answers may later be used against him in prosecution for perjury or false  
17 statement if an answer is untrue;

18 (g) That he agrees that the plea agreement is voluntary and not a result of any force,  
19 threats or promises apart from this plea agreement;

20 (h) The defendant is satisfied with the representation of his lawyer and feels that his  
21 lawyer has done everything possible for his defense;

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24 //

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1  
2 (i) That this plea agreement has been translated into his native language and he fully  
3 understands it.

4  
5 DATED: 4-2-08

  
SANG HO KIM  
Defendant

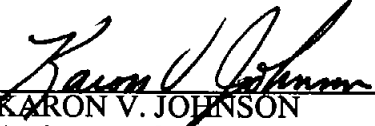
6  
7 DATED: 4-2-08

8  
9 for   
JOSEPH C. RAZZANO  
Attorney for Defendant

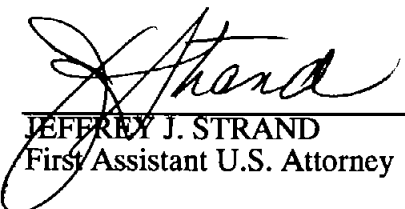
10  
11 LEONARDO M. RAPADAS  
United States Attorney  
Districts of Guam and NMI

12  
13 DATED: 4/2/08

By:

  
KARON V. JOHNSON  
Assistant U.S. Attorney

15  
16 DATED: 4/8/08

  
JEFFREY J. STRAND  
First Assistant U.S. Attorney